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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,620	03/23/2001	Patrik Anderson	AXISP006

## CONFIRMATION NO. 7165

## FORMALITIES LETTER



\*OC000000006043399\*

CARY & KELLY, LLP  
 ATTN: Charles C. Cary  
 1875 Charleston Road  
 Mountain View, CA 94043

Date Mailed: 05/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

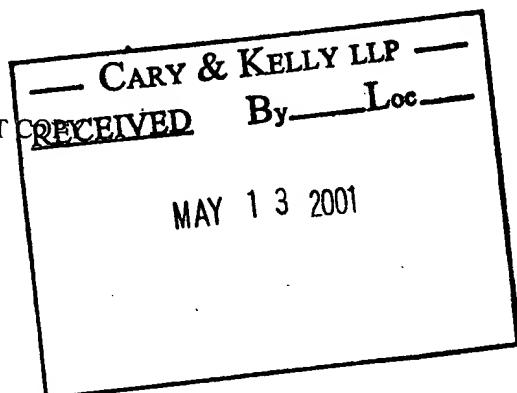
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

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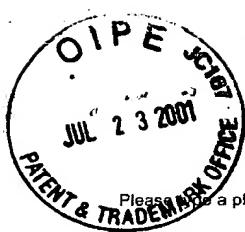
PART 1 - ATTORNEY/APPLICANT



07/25/2001 JADD01 00000034 09816620

01 FC:105

130.00 0P



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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*At Sector*  
*HP*

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/816,620

Filing Date

Mar 23, 2001

First Named Inventor

Patrik ANDERSON

Group Art Unit

2152

Examiner Name

N/A

Attorney Docket Number

AXISP006

### ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers # (for an Application)
- Drawing(s) 13
- Licensing-related Papers
- Petition #
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- Return Receipt Postcard

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

1-Check @ \$240.00  
2-Executed Declaration of Power of Attorney form

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

CARY & KELLY, LLP

Signature

Reg. #: 36,764

Date

Jul 18, 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Susan W. Xu

Signature

*Susan W. Xu*

Date

July 18, 2001

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#3

PTO/SB/17 (09-00)

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 240

## Complete if Known

Application Number	09/816,620
Filing Date	Mar 23, 2001
First Named Inventor	Patrik ANDERSON
Examiner Name	N/A
Group Art Unit	2152
Attorney Docket No.	AXISP006

METHOD OF PAYMENT	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <b>50-1338</b></p> <p>Deposit Account Name <b>CARY &amp; KELLY, LLP</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>	
<p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	

FEE CALCULATION																									
<p>1. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201 355 Utility filing fee</td> <td>\$0</td> </tr> <tr> <td>106</td> <td>320</td> <td>206 160 Design filing fee</td> <td>\$0</td> </tr> <tr> <td>107</td> <td>490</td> <td>207 245 Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>710</td> <td>208 355 Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>150</td> <td>214 75 Provisional filing fee</td> <td></td> </tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101	710	201 355 Utility filing fee	\$0	106	320	206 160 Design filing fee	\$0	107	490	207 245 Plant filing fee		108	710	208 355 Reissue filing fee		114	150	214 75 Provisional filing fee	
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<b>SUBTOTAL (1) (\$ 0</b>																									

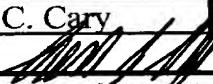
2. EXTRA CLAIM FEES													
<table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Independent Claims</td> <td>-20 = -20</td> <td>x \$18 = \$0</td> <td></td> </tr> <tr> <td>Multiple Dependent</td> <td>-3 = -3</td> <td>x \$80 = \$0</td> <td></td> </tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid	Independent Claims	-20 = -20	x \$18 = \$0		Multiple Dependent	-3 = -3	x \$80 = \$0	
Total Claims	Extra Claims	Fee from below	Fee Paid										
Independent Claims	-20 = -20	x \$18 = \$0											
Multiple Dependent	-3 = -3	x \$80 = \$0											
<b>SUBTOTAL (2) (\$ 0</b>													

Large Entity Fee Code (\$)		Small Entity Fee Code (\$)		Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$ 0**

\*or number previously paid, if greater: For Reissues, see above

FEE CALCULATION (continued)				
<b>3. ADDITIONAL FEES</b>				
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid
105	130	205 65 Surcharge - late filing fee or oath		\$130
127	50	227 25 Surcharge - late provisional filing fee or cover sheet		\$0
139	130	139 130 Non-English specification		\$0
147	2,520	147 2,520 For filing a request for <i>ex parte</i> reexamination		\$0
112	920*	112 920* Requesting publication of SIR prior to Examiner action		\$0
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action		\$0
115	110	215 55 Extension for reply within first month		\$110
116	390	216 195 Extension for reply within second month		\$0
117	890	217 445 Extension for reply within third month		\$0
118	1,390	218 695 Extension for reply within fourth month		\$0
128	1,890	228 945 Extension for reply within fifth month		\$0
119	310	219 155 Notice of Appeal		\$0
120	310	220 155 Filing a brief in support of an appeal		\$0
121	270	221 135 Request for oral hearing		\$0
138	1,510	138 1,510 Petition to institute a public use proceeding		\$0
140	110	240 55 Petition to revive - unavoidable		\$0
141	1,240	241 620 Petition to revive - unintentional		\$0
142	1,240	242 620 Utility issue fee (or reissue)		\$0
143	440	243 220 Design issue fee		\$0
144	600	244 300 Plant issue fee		\$0
122	130	122 130 Petitions to the Commissioner		\$0
123	50	123 50 Petitions related to provisional applications		\$0
126	240	126 240 Submission of Information Disclosure Stmt		\$0
581	40	581 40 Recording each patent assignment per property (times number of properties)		\$0
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))		\$0
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))		\$0
179	710	279 355 Request for Continued Examination (RCE)		\$0
169	900	169 900 Request for expedited examination of a design application		\$0
Other fee (specify) _____				
Reduced by Basic Filing Fee Paid				<b>SUBTOTAL (3) (\$ 240</b>

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Charles C. Cary	Registration No. (Attorney/Agent)	36,764	Telephone (650) 316-4011
Signature			Date	Jul 18, 2001

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